



We Health IN SCIENCE LIVES HOPE.

Biospecimen Collection and Handling for Clinical Research

Friday, October 1st, 2021



Learning Objectives:

- 1) Explain the basic principles of Biobanking
- 2) Identify how Biobanking applies to clinical research and its importance
- 3) Describe a human biospecimen
- 4) Describe the proper acquisition, handling, and storage of biospecimens

Target Audience:

Clinical Research Professionals (CRPs) at UC/H and Cincinnati Children's Hospital Medical Center (CCHMC): including Principal Investigators (PIs), Research Nurses (RNs), Critical Care Unit Nurses (RNs), Pharmacy Technicians and Regulatory Specialists.

WHealth

Off-Label Disclosure Statement:

Faculty members are required to inform the audience when they are discussing off-label, unapproved uses of devices and drugs. Physicians should consult full prescribing information before using any product mentioned during this educational activity.

Learner Assurance Statement

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Planning Committee Members:

- Maria Stivers, MS, CIP; Course Director No Relevant Relationships
- Zachary Johnson, BS No Relevant Relationships
- Nathaniel L. Harris, BS, Course Coordinator No Relevant Relationships
- Brandon Armstrong, CME Program Coordinator No Relevant Relationships

Speakers:

Kelsey Dillehay Mckillip, PhD

Assistant Professor

Director, University of Cincinnati Biorepository

No Relevant Relationships

OCR Announcements:



October 2021 Study of the Month

Memory Problems? Memory and Metabolism Study

What

The purpose of this nutritional research study is to evaluate the effectiveness of berry fruits in preventing cognitive decline and improving insulin resistance.

Who

Overweight men and women 50 to 65 years old without diabetes who are aware of mild memory decline such as forgetfulness or shortterm memory difficulty.

Pay

Compensation may be available for time and travel for each completed study visit.

Details

For more information, contact Marcelle Shidler at shidlemd@ucmail.uc.edu or call (513) 558-2455.







OCR Announcements:





S.O.P. Update:

Electrical Safety Testing of Research Equipment

Any device or equipment which must be plugged into an electrical outlet and requires electricity in order to function, that is intended to be used for Research purposes, needs to be registered and approved for use by Clinical Engineering.

Please refer to the following recently updated UC Health SOP: UCH-OCR-OPS-SOP-009-03: Electrical Safety Testing of Research Equipment Updates to this S.O.P. include the contact information for Clinical Engineering.

All OCR SOPs are accessible from the UC Health intranet home page utilizing the Compliance 360 policy search function or reach out to the Office of Clinical Research with any questions or concerns.

OCR Announcements:







Thursday, October 21st, 2021, 12:00noon - 1:00pm Virtual Presentation

The Consent Process for Central IRBs

Please join us and for an overview of reliance on commercial IRBs, including currently executed agreements, number of studies, requirements for reliance determinations, completing the External IRB cover page, processes for changes to informed consent language, and approval release for studies housed at Advarra IRB and the WCG Group IRB.

Kareemah Mills, CIP

Assistant Director Human Research Protection Program UC Office of Research Integrity



Today's Presentation:

Biospecimen Collection and Handling for Clinical Research

Kelsey Dillehay Mckillip, PhD Assistant Professor

Director, University of Cincinnati Biorepository Department of Pathology & Laboratory Medicine University of Cincinnati College of Medicine

Biospecimen Collection and Handling for Clinical Research

Kelsey Dillehay McKillip, PhD Director, University of Cincinnati Biorepository

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Website: http://cancer.uc.edu/Research/CoreFacilities/TumorBanking.aspx



Learning objectives

- 1. Understand the importance of biospecimens in clinical research and clinical trials
- 2. Understand the ethical, legal, and social implications of using human biospecimens in research
- 3. Describe the proper acquisition, handling, and storage of biospecimens for clinical research
- 4. Explain the basic principles of biobanking





- Human biospecimens are critical for the advancement of scientific research and medicine
 - Research leading to better understanding of the biology of human disease
 - The discovery of new diagnostics and treatments that are tailored to individual patients ("personalized medicine")
 - Improved clinical outcomes for patients



Biospecimen:

"A sample of material, such as urine, blood, tissue, cells, DNA, RNA, or protein, from humans, animals, or plants. Biospecimens may be used for a laboratory test or stored in a biorepository to be used for research."



Biospecimens in Clinical Research

- Biospecimens provide a biological snapshot of the physiological profile of a research participant
- Biospecimens are used to answer research questions:
 - Is a new medication safe?
 - Is a new medication effective?
 - Can a biomarker help diagnosis disease or predict the effectiveness of a new medication?



Many of the human biospecimens collected for clinical research are stored in biobanks

"It is your choice whether or not to let researchers share your data and biospecimens for research in the future. If you say "yes," you can change your mind later, but your data and biospecimens might still be used if they have already been shared. If you say "no," you can still fully participate in this study. Please initial next to your choice:

YES, use my data and biospecimens in other research studies NO, do NOT use my data and biospecimens in other research studies"



Biorepository / Biobank:

"Any entity that collects, processes, stores, and/or dispenses, biospecimens, their derivatives and relevant data."



Types of Biobanks

		TABLE 2. COMP.		
Type of biorepository	Biobank, typical	Epidemiological, popi based, or environm		nt Combination model
1) Usual types of specimens	Solid tissues and biofluids; Specimens may vary with goals of the biobank; Frequently only FFPE tissue and biofluids are available	Usually only biofluids – b components and someti	Clinical trial Solid tissues and	 Specimens requested by investigators; Biobanked specimens collected, ns processed and stored based on biorepository goals.
2) Specimen collection	Collected in a medical facility for future research; Usually disease-based.	Usually collected from inc without disease, but car specimens from individu increased risk for a dise Collected for future ress Longitudinal samples se available.	biofluids depending on requirements of the clinical study.	Collected for future research or at request of investigators; Many specimens are rapidly distributed, rs. but some are banked.
3) Processing, storage and distribution	Processed via a SOP; Stored until distributed to an investigator.	Processed by a SOP; Stora periods until an endpoin (e.g., development of a study of an environmen Distribution usually is r research on specific isst not associated with an c (e.g., development of a frequently are not initia available for distributio	Collected in a medical facility in association with therapeutic	Processed based on a SOP (bank) or according to investigator request; Distributed to a requesting investigator or stored in bank until requested; Strong emphasis on distribution.
4) Data	Extensive data may be available upon request including outcome.	Extensive data available fi based upon interview of participants; Usually pa are healthy; Outcome (e development of a diseas variable.	interventions for disease.	Data models vary based on goals of biobanking component; Demographic data available and of other data via health record; Outcome of prospective component not available when specimens first requested.
5) Advantages	Specimens and data including outcome usually are immediately available.	Longitudinal specimens m available; Extensive dat individual can be provid	Stored until distributed to an investigator; Use may be restricted due to the informed	 Prospective specimens collected and processed based on investigator needs; Banked specimens and outcomes may be immediately available; Prospective specimens will not have long-term storage artifacts.
6) Disadvantages	Many specimens are not utilized; Specimens may not meet needs of the investigator; Potential artifacts and molecular degradation based on long-term storage.	Many specimens are not u Specimens subject to ar molecular degradation o term storage.		Specimen and outcome data not immediately available on prospective specimens; Potential artifacts and degradation of banked specimens on long-term storage; Banked specimens may not be utilized.

Notable National Biorepositories

- The National Pathology Repository
 - The largest and most comprehensive collection of pathology material in the world
 - > 2.8 million cases since 1917
 - > 50 million microscopic slides
 - > 30 million tissue blocks
- Framingham Heart Study
 - Longitudinal, population based, multi-generational study that began in 1948
 - The first prospective study of cardiovascular disease
 - Original cohort: 5,209 participants
 - Second and third generation cohorts
- Million Veteran Program (MVP)
 - Since launching in 2011, over 825,000 Veteran partners have joined
- All of Us Program
 - 1 million or more participants donating longitudinal samples and data



Local Biorepositories

- Fernald Community Cohort
 - Specimens and data collected from Fernald Medical Monitoring Program (FMMP) participants (1990 – 2008)
- UC Biorepository
 - Specimens and data collected from individuals diagnosed with cancer (2002 – present)
- Cincinnati COVID-19 Repository
 - Specimens and data collected from individuals diagnosed with COVID-19 (2020 – present)
- Discover Together Biobank (CCHMC)
 - Pediatric biobank



The specimen is biologically viable Medical/ Restocking Handling/ Scientific Distribution Patient Surgical Acquisition Unused Storage Processing Analysis Procedures Sample **Pre-acquisition Post-acquisition** NCI, Office of Biorepositories and Biospecimen Research (OBBR)



Best Practices

Provide guiding principles for biospecimen resources, promote biospecimen and data quality, and support adherence to ethical and legal requirements





Legal and Ethical Considerations

Patient

The collection, storage, distribution, and use of biological materials in research raises many legal and ethical issues and is subject to both national and international regulations





Patient

Human Subject Research Regulations

- Declaration of Helsinki (1964)
 - Ethical principles for medical research involving human subjects developed by the World Medical Association (WMA)
- Basic principles that guide human subject research (The Belmont Report)
 - Respect (informed consent and protection of vulnerable populations)
 - Beneficence (risk/benefit assessment)
 - Justice (participant selection criteria)
- US federal regulations pertaining to human subject research
 - 45 CFR 46 (Common Rule)
 - 21 CFR 50 and 56 (FDA regulations)
- Health Insurance Portability and Accountability Act (HIPAA)
- Human subject research is regulated by Institutional Review Boards (IRB)





Patient

Key Ethical and Legal Considerations

- 1. The research should be well designed and conducted by persons with appropriate expertise
- 2. Freely-given informed consent is required prior to the procurement and research use of human biospecimens
- 3. Participants should have the right to withdraw consent and have their unused specimens and data destroyed
- 4. Every effort should be taken to minimize the risks to study participants
 - The physical and psychological risks associated with biospecimen collection should be minimized
 - Protecting the privacy and confidentiality of research participants



The story of Henrietta Lacks

- A story of respect for autonomy
- Her cancer cells were used to establish the HeLa cell line without her knowledge or consent
 - The first immortalized cell line
 - The most important cell line in medical research history
 - Development of the polio vaccine
 - AIDS research
 - Cancer research
 - Genome was sequenced and published in 2013
 - Still widely used today
- In the media:
 - The Immortal Life of Henrietta Lacks, 2010 (book)
 - Law and Order, "Immortal", 2010
 - The Immortal Life of Henrietta Lacks, 2017 (movie)
- The lay public are now more aware of the use of biospecimens in research and their rights as research participants than ever before

THE IMMORTAL LIFE OF

HENRIETTA LACKS

Doctors rook her cells without asking. Those cells never died. They launched a medical revolution and a multimillion dollar industry. More than eventy years later, her children found out. Their liters would never be the same.

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Informed Consent

Patient



- Individuals should understand the purpose, procedures, risks, benefits, and alternatives, and make a voluntary decision to participate in the research study
- Published data suggests that people support research using their biospecimens
 - People typically want to be asked whether their specimens can be used for research
 - Beyond initial consent, many do not want significant control over how their specimens are used
 - Many people are accepting of broad consent for future unspecified research use
- Use of biospecimens for research purposes should remain within the scope of the consent obtained
- Types of informed consent:
 - Specific consent: details of the proposed use of the biospecimen(s) are specifically outlined
 - Broad consent: permits broad research use of biospecimen(s)
 - Multi-layer consents: allow participants to decide how their biospecimen(s) will be used



Patient

Privacy Protections

- Procedures to protect the privacy and confidentiality of the research participants include:
 - Completely anonymizing biospecimens and data such that they cannot be linked either directly or indirectly to the donor
 - Assigning a unique code and/or removing all individually identifying information from the specimens and data (deidentification, coding, etc.)
 - Storing biospecimen and data securely
 - Restricting access to biospecimen and data





Collection Procedures

Medical/ Surgical Acquisition Procedures

- 1. Biospecimens collected as part of standard of care
 - Remnant ("leftover") biospecimen
 - Collected during a routine medical procedure (e.g., surgical resection)
 - "Minimal risk"
 - Blood, urine, isolates, etc. typically released after mandatory hold (1 week)
 - Tissue must be examined by qualified pathology personnel to ensure that remnant tissue can be provided without compromising diagnostic integrity of the specimen
- 2. Biospecimen collection specifically for research purposes
 - Examples include a blood draw or a tissue biopsy procedure
 - May qualify as "greater than minimal risk"



Biospecimen Collection

Medical/ Surgical Acquisition Procedures

Key considerations:

- 1. Analytical objectives of the study
 - Ensures that biospecimen collection and subsequent processing procedures are fit for purpose
- 2. Biospecimen availability (i.e., feasibility)
- 3. Collection kits / tubes / containers
 - Use of stabilizing reagents
- 4. Professional support / oversight for biospecimen collection procedures

Pathologist, phlebotomist, etc.





Biospecimen Collection

Medical/ Surgical Acquisition Procedures

Key considerations continued:

- 5. Transportation between collection and processing / storage sites
- 6. Proper handling is essential to ensuring the integrity and quality of the biospecimen
 - Standard operating procedures (SOPs)
 - Proper labeling
 - Chain of custody







Medical/ Surgical Acquisition Procedures

- Chain of custody (COC) is defined as the documentation of the chronological movement of biospecimen from the time of collection through analysis
 - Ensures the identity and the integrity of biospecimens
 - Allows for identification of potential sources of bias
 - Root cause analysis



Collection kits / containers

Medical/ Surgical Procedures

Acquisition











- Communication communicate early and frequently with the clinical team in order to coordinate safe and timely collections
- Preparedness ensure you have all the required materials needed for specimen collection (e.g., collection kits, forms, etc.)
- Documentation ensure accurate and complete documentation

Collection Kits







Medical/ Surgical Ad Procedures

Acquisition

Challenges of Biopecimen Collection

- Timing of collection
 - Avoiding a separate stick for blood collection
- Delays in specimen processing may result in degradation of molecules such as RNA and phosphoproteins
 - Keeping specimen cold (4°C) and/or use of transport media and stabilizers
 - Rapid transport of biospecimens from collection to processing sites
- Limitations to the collection of tissue
 - Neoadjuvant therapy tumor is very small or no viable tumor
 - More effective screening, detection, and diagnosis is resulting in smaller tissue specimen that are often entirely submitted for diagnostic purposes





Identifying Sources of Bias

Medical/ Surgical Ad Procedures

Acquisition

- Pre-analytic variables may introduce bias
 - The physiology of the human research participants prior to biospecimen collection
 - Biospecimen collection and processing practices
- The larger the variation, the more difficult it is to identify treatment effects in clinical research
 - Use of central labs for quantitating laboratory parameters eliminates between-lab variation
 - Use of central evaluators to eliminate between-evaluator variation
- Careful record keeping can help identify and/or minimize bias



Examples of potential sources of bias in tissue sets

- 1 Systematic differences among the sites of sample collection
- 2 Population (e.g., racial mixtures, differences in sex and age, ethnicity)
- **3** Diurnal variations (i.e., time of collection)
- 4 Homeostasis (e.g., fed or fasting state)
- 5 Stress of donors
- 6 Comorbidity (diabetics versus hypertensive patients)
- 7 Collection container (red top vs. tiger separator or type of plasma)
- 8 Time from processing to freezing
- 9 Temperature and length of storage
- 10 Freeze thaw cycles

Grizzle et al., Cancer Biomark, 2010





Handling/ Processing

Tissue Ischemia



- Prolonged tissue ischemia will negatively impact tissue quality
- The clock starts during the procedure:
 - Warm ischemia: the amount of time that a tissue or organ remains at body temperature after its blood supply has been stopped or reduced
 - Cold ischemia: the amount of time a tissue or organ is chilled (below body temperature) after the blood supply has been stopped or reduced




Handling/ Processing

Biospecimen Processing

- Biospecimen should be processed and/or preserved as quickly as possible following established SOPs
- Ideally processing methods are determined based on the intended use of a particular biospecimen
 - Sometimes unknown at the time of collection and processing of biospecimen
- Processing procedures should be optimized to minimize molecular changes





Common Processing Methods

- Handling/ Processing
 - Centrifugation
 - Stabilizers (RNAlater)
 - Snap freezing (LN₂ or isopentane)
 - Controlled rate freezing (required for viable specimens)
 - Tissue processing and embedding
 - Embedded in freezing media (OCT)
 - Formalin-fixed paraffin-embedded (FFPE)
 - Nucleic acid extraction
 - Protein extraction





Biospecimen are processed in a standardize manner to minimize variability amongst samples and help assure sample quality



UC Cancer Institute					SOP # - APPENDIX A Tissue Processing Tissue Processing Sheet					
	F	REGISTR	Y: HDN	/ HDS / MA	L / THO	URS Other	·			
DATE	DATE SUBJECT		CTID	ID PATIENT INI		COORDIN	ATOR INITIALS	TOR INITIALS SAMPLE LOGGED		
TIME POIN	TS:				Time Notes					
1. Excision:						AM PM				
2. Tissue arrived at Path:					AM PM		Specimen Container ID:			
3. Tissue received in Path:						AM PM				
4. Processing started:						AM PM				
5. Processing completed:						AM PM				
6. Tissue arrives at UCCITB:						AM PM				
7. Placed in Formalin:						AM PM				
Total Processing Time (Time 5 – Time 4):					hrmin					
Total Ische	mic	Time (Ti	me 5 – T	ime 1):	h	rmin	□ >1 ho	ur		
Time			Date Notes							
				P						
8. Placed	in pr	ocessor	:		PM					
			:	AM						
 8. Placed 9. Placed TISSUE RE 	in pa	araffin:			PM	Weight (g)		ssue	Origin	
9. Placed	in pa	araffin:		AM	PM	Weight (g)	T	ssue	Origin	
9. Placed	in pa	araffin:		AM	PM	Weight (g)	T	ssue	Origin	
9. Placed TISSUE RE Normal Tumor	in pa	araffin: /ED:	No.	AM of Sample((s) N			ssue		
9. Placed TISSUE RE Normal Tumor	in pa	araffin: /ED:	No.	AM	(s) N	Weight (g) Frozen Sec		ssue	Origin	
9. Placed TISSUE RE Normal Tumor	in pa	araffin: /ED:	No.	AM of Sample(ossing Roo	(s) N	Frozen Sec		ssue		
9. Placed TISSUE RE Normal Tumor COLLECTIC ALIQUOT:	in pa	ITE:	No.	AM of Sample(ossing Roo	PM (s) N	Frozen Sec	tion Room		OR	ī
9. Placed TISSUE RE Normal	IN PA	TTE:	No.	AM of Sample(ossing Roo	PM (s) N	Frozen Sec	tion Room		OR	PE Position



ALIQUOT! ALIQUOT! ALIQUOT!

Handling/ Processing

- Prepare appropriately sized aliquots in order to avoid unnecessary freeze-thaw cycles
- Storage containers should be stable under planned storage conditions (e.g., -80°C)









Storage of Biospecimen

Storage

- The use of frost-free (self-defrost) freezers should be avoided
- The location of each sample should be tracked to facilitate sample retrieval and minimize fluctuations in freezer temperature
- Freezers should have regular preventative maintenance and be connected to a monitoring system that alerts research personnel to power and temperature failures
- Specimen should be stored in a secure location and access should be limited to authorized personnel









Storage Conditions

Storage

- Selection of storage methods balances the costs of storage and the limitations in the methods of storage
 - All methods of storage have limitations
- In selecting storage conditions, consideration should be given to:
 - Type of biospecimen
 - Anticipated length of storage
 - Biomolecules of interest
 - Preservation of viable cells
 - Cryoprotectants and controlled-rate freezing
- Common storage conditions:
 - Room temperature storage of FFPE tissue
 - 80°C storage of biofluids and tissue
 - Vapor phase LN₂ (-150°C) storage of viable tissue/cells





Biospecimen Storage

- Each sample is assigned a unique ID
- Appropriately sized aliquots prevent freeze-thaw cycles
 - Aliquots are split between different freezers to prevent total loss in the event of freezer failure
- Freezer Monitoring System
 - Alerts biobank staff to power and temperature failures

Data Storage

- CTMS / LIMS / REDCap
 - Track consent
 - Specimen collection and processing information
 - Specimen storage locations
 - Freezer > Shelf > Rack > Box > Position
 - Associated clinical data





Data Collection and Storage

Storage

- Well-documented collection/storage data and proper clinical annotation are essential to ensuring the value of biospecimen
- The two main types of data that may be associated with biospecimens include:
 - Specimen-specific data: processing conditions, quantity, quality, etc.
 - Donor-specific data: clinical or biological data gleaned from medical records such as diagnosis, medical history, family history, etc.
- The minimum clinical annotation of biospecimens should include age, race and sex of the donor and relevant diagnosis at the time of biospecimen collection
 - Beyond this, the extent of annotation required for a specimen will vary with the primary intended use and the goals of the clinical research study



Quality Management System

Quality Assurance	Quality Control
 Policies and procedures are documented in SOPs following best practice recommendations Personnel are trained in all SOPs An extensive audit trail (chain of 	 Histopathology Histologic tissue slides are reviewed by a Pathologist to confirm agreement with associated clinicopathologic data Used to confirm diagnosis, % tumor, % necrosis, etc. of the tissue collected for
 An extensive audit trail (chain of custody) is maintained for all specimen from receipt to analysis / distribution 	research
 Equipment maintenance and calibration procedures are performed and documented 	 Nucleic acid quantity / quality Used to confirm that collection, processing, and storage procedures do no negatively impact sample quality
	Cell viability
 Access to the biospecimen database is limited and controlled 	 Used to demonstrate effectiveness of collection, processing, and storage procedures for viable specimens (e.g., PBMCs)
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Biospecimen Shipping Regulations

- Packaging and shipping should conform to applicable regulations:
 - International Air Transport Association (IATA)
 - Air shipping
 - U.S. Department of Transportation (DOT)
 - Ground shipping
 - International Civil Aviation Organization (ICAO)
 - International shipping
- All personnel involved in shipping biological materials should be appropriately trained





Shipping Considerations

Distribution

- How to classify the shipment
 - Are you shipping "dangerous goods"?
 - Infectious?
 - Flammable?
 - Dry ice?
- Temperature
 - Ambient
 - Refrigerated (2 to 8°C)
 - Frozen (-20°C, -70°C, -150°C)



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- Shipment of cold / frozen biospecimen should be shipped with sufficient and appropriate refrigerant to maintain temperature throughout shipping and allow for at least a 24-hour delay
 - Temperature recording devices may be used to confirm acceptable temperatures are maintained during shipment
- Communication
 - Notification of shipment and expected delivery date with tracking information
 - Shipping manifest
 - Confirmation of receipt

Summary

- Biospecimen are a critical component of all clinical research
- The use of biospecimens for research purposes should remain within the scope of the consent
- Biospecimen should be handled in a standardized manner that ensures their identity and integrity
 - Following established SOPs for collection, processing, storage, and shipment
 - Document chain of custody
 - Quality management plan
- ALIQUOT! ALIQUOT! ALIQUOT!
 - Avoid unnecessary freeze-thaw cycles